Docket No.: SHIGA7.056APC Customer No. 20,995

INFORMATION DISCLOSURE STATEMENT

Applicant

Tomoyuki Ando

App. No

10/593,004

Filed

September 14, 2006

For

NEGATIVE RESIST COMPOSITION

Examiner

Sin J. Lee

Art Unit

1795

CERTIFICATE OF EFS WEB TRANSMISSION

I hereby certify that this correspondence, and any other attachment noted on the automated Acknowledgement Receipt, is being transmitted from within the Pacific Time zone to the Commissioner for Patents via the EFS Web server on:

November 21, 2008

(Date)

Neil S. Bartfeld, Ph.D., Reg. No. 39,901

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application is a PTO/SB/08 Equivalent listing 4 (four) references to be considered by the Examiner. Also enclosed are 2 (two) foreign patent references and/or non-patent literature as listed on the Information Disclosure Statement.

This Information Disclosure Statement is being filed before the mailing date of a final action and before the mailing date of a Notice of Allowance.

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Although U.S. 2005/0282090 was not directly cited in the counterpart foreign application, it is the English language equivalent of JP 2004-341479, and is therefore submitted herewith.

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Thus, no fee is required as set forth in 37 C.F.R. § 1.97(c), however, the Commissioner is hereby authorized to charge any additional fees which may be required or to credit any overpayment to Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated:

By:

Neil S. Bartfeld, Ph.D.

Registration No. 39,901

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